

Using Quadratic Fourier Analysis to Find 4-term Arithmetic Progressions (After Green)

Bora Çalım and Nihan Tanisali

2023

Theorem (Main Theorem)

Let $\alpha, \epsilon > 0 \in \mathbb{R}$. Then $\exists n_0 = n_0(\alpha, \epsilon)$ with the following property:
Suppose that $n > n_0$ and $A \subseteq \mathbb{F}_5^n$ has density α . Then $\exists d \neq 0$ s.t. A contains $\geq (\alpha^4 - \epsilon)N$ 4APs with common difference d ($N = |\mathbb{F}_5^n| = 5^n$).

- ▶ Best possible result (consider random set of density α)
- ▶ \mathbb{F}_5^n is a useful model setting (subspaces etc.)

Preliminaries

- ▶ Throughout, $G = \mathbb{F}_5^n$, $N = |G| = 5^n$
- ▶ $\hat{f}(r) = \mathbb{E}_{x \in G} f(x) \omega^{r^T x}$, $\omega = e^{\frac{2\pi i}{5}}$
- ▶ Haar measure in G , counting measure in \hat{G}
- ▶ Parseval: $\|f\|_2 = \|\hat{f}\|_2$

First definitions

- ▶ To analyze 3APs we can use ordinary Fourier Analysis (e.g. Roth).
Hard to generalize
- ▶ Approach based on Gowers norms, easier to generalize
- ▶ Multilinear forms Λ_3 and Λ_4 , defined on $f_i : G \rightarrow [-1, 1]$
- ▶ $\Lambda_3(f_1, f_2, f_3) = \mathbb{E}_{x,d} f_1(x) f_2(x+d) f_3(x+2d)$
- ▶ $\Lambda_4(f_1, f_2, f_3, f_4) = \mathbb{E}_{x,d} f_1(x) f_2(x+d) f_3(x+2d) f_4(x+3d)$

Gowers norms

- ▶ Gowers norms U^2 and U^3 , for $f : G \rightarrow [-1, 1]$:

$$\begin{aligned}\|f\|_{U^2} &= (\mathbb{E}_{y_1, y_2, y'_1, y'_2} f(y_1 + y_2) f(y_1 + y'_2) f(y'_1 + y_2) f(y'_1 + y'_2))^{1/4} \\ &= (\mathbb{E}_{x, h_1, h_2} f(x) f(x + h_1) f(x + h_2) f(x + h_1 + h_2))^{1/4}\end{aligned}$$

$$\begin{aligned}\|f\|_{U^3}^8 &= \mathbb{E}_{y_1, y_2, y_3, y'_1, y'_2, y'_3} f(y_1 + y_2 + y_3) f(y_1 + y_2 + y'_3) f(y_1 + y'_2 + y_3) \\ &\quad \times f(y'_1 + y_2 + y_3) f(y_1 + y'_2 + y'_3) f(y'_1 + y_2 + y'_3) \\ &\quad \times f(y'_1 + y'_2 + y_3) f(y'_1 + y'_2 + y'_3) \\ &= \mathbb{E}_{x, h_1, h_2, h_3} f(x) f(x + h_1) f(x + h_2) f(x + h_3) f(x + h_1 + h_2) \\ &\quad \times f(x + h_1 + h_3) f(x + h_2 + h_3) f(x + h_1 + h_2 + h_3)\end{aligned}$$

- ▶ "average over parallelepipeds"

A Brief Look at the 3AP case

- ▶ Λ_3 is controlled by U^2 :
- ▶ $|\Lambda_3(f_1, f_2, f_3)| \leq \inf_{i=1,2,3} \|f_i\|_{U^2}$
- ▶ Large U^2 norm implies a large FC:
- ▶ $\|f\|_{U^2} \geq \delta \implies \|\hat{f}\|_\infty \geq \delta^2$

Attempt to generalize to 4APs

- ▶ First can be generalized: Λ_4 is controlled by U^3 :
- ▶ $|\Lambda_4(f_1, f_2, f_3, f_4)| \leq \inf_{i=1,2,3,4} \|f_i\|_{U^3}$
- ▶ Second cannot: $f(x) = \omega^{x^T x}$.
- ▶ $\|f\|_{U^3} = 1, \|\hat{f}\|_{\infty} \leq N^{-1/2}$
- ▶ Instead of a large FC, find correlation between f and a quadratic phase

We want to prove:

Theorem (inverse result for U^3 norm on \mathbb{F}_5^n)

Suppose that $f : \mathbb{F}_5^n \rightarrow [-1, 1]$ is a function for which $\|f\|_{U^3} \geq \delta$. Then there is a matrix $M \in \mathfrak{M}_n(\mathbb{F}_5)$ and a vector $r \in \mathbb{F}_5^n$ so that

$$\left| \mathbb{E}_{x \in G} f(x) \omega^{x^T M x + r^T x} \right| \gg_{\delta} 1.$$

"If the U^3 norm of f is large, then f correlates with a quadratic function"

Steps in proving the inverse result for U^3

- ▶ If $\|f\|_{U^3} \geq \delta$, then $\Delta(f; h)(x) = f(x)f(x-h)$ has some "weak linearity"
- ▶ This weak linearity implies stronger linearity
- ▶ $\Delta(f; h)$ correlates with a linear phase $\mathbb{E}_h |\Delta(f; h)^{\wedge}(Mh + b)|^2 \gg_{\delta} 1$
- ▶ M is necessarily "almost symmetric" and WLOG we can take M symmetric
- ▶ "Integration" of the previous statement to obtain the result

Step 1 - weak linearity from large U^3 norm

Precise statement: If $\|f\|_{U^3} \geq \delta$ and $|G| \gg_\delta 1$, then $\exists \phi : G \rightarrow \widehat{G}, S \subseteq G$ with $|S| \gg_\delta |G|$ such that the following hold:

1. $|\Delta(f; h)^\wedge(\phi(h))| \gg_\delta 1$ for all $h \in S$
2. There are $\gg_\delta |G|^3$ quadruples $(s_1, s_2, s_3, s_4) \in S^4$ s.t.
 $s_1 + s_2 = s_3 + s_4$ and $\phi(s_1) + \phi(s_2) = \phi(s_3) + \phi(s_4)$

Sketch of step 1

- ▶ From $\|f\|_{U^3} \geq \delta$, algebra, Hölder, Parseval
 $\implies \mathbb{E}_h \|\Delta(f; h)^\wedge\|_8^8 \geq \delta^{24}$

- ▶ Samorodnitsky $\implies LHS =$

$$\sum_{r_1+r_2=r_3+r_4} \mathbb{E}_{h_1+h_2=h_3+h_4} |\Delta(f; h_1)^\wedge(r_1)|^2 \cdots |\Delta(f; h_4)^\wedge(r_4)|^2 \quad (1)$$

- ▶ For $h \in G$, let $\Phi(h) = \{r : |\Delta(f; h)^\wedge(r)| \geq \delta^{50}\}$
- ▶ Contributions to (1) where $r_i \notin \Phi(h_i)$ for some i is small

Sketch of step 1

- ▶ We have:

$$\sum_{r_1+r_2=r_3+r_4} \mathbb{E}_{h_1+h_2=h_3+h_4} |\Delta(f; h_1)^{\wedge}(r_1)|^2 \cdots |\Delta(f; h_4)^{\wedge}(r_4)|^2 \gg_{\delta} 1$$

- ▶ Restrict to $r_i \in \Phi(h_i)$ for all i with small loss
- ▶ $\exists \gg_{\delta} N^3$ octuples $(h_1, r_1, \dots, h_4, r_4)$ s.t. $h_1 + h_2 = h_3 + h_4$,
 $r_1 + r_2 = r_3 + r_4$, $r_i \in \Phi(h_i)$ for all i
- ▶ Octuples where h_i not all distinct are $\ll_{\delta} N^2$, so we can restrict to h_i all distinct with small loss

Completing step 1

- ▶ We have: $\exists \gg_{\delta} N^3$ octuples $(h_1, r_1, \dots, h_4, r_4)$ s.t.
 $h_1 + h_2 = h_3 + h_4$, $r_1 + r_2 = r_3 + r_4$, $r_i \in \Phi(h_i)$ for all i , h_i 's all distinct
- ▶ Take $S = \{h : \Phi(h) \neq \emptyset\}$ ($|S| \gg_{\delta} |G|$ to allow $\gg_{\delta} N^3$ octuples)
- ▶ Choose $\phi(h)$ from $\Phi(h)$ randomly ($|\Phi(h)| \ll_{\delta} 1$ by Parseval)
- ▶ $\exists \phi$ s.t. $\exists \gg_{\delta} |G|^3$ quadruples (h_1, \dots, h_4) s.t. $h_1 + h_2 = h_3 + h_4$,
 $\phi(h_1) + \phi(h_2) = \phi(h_3) + \phi(h_4)$ and $|\Delta(f; h)^{\wedge}(\phi(h))| \geq \delta^{50}$ for all h

Step 2 - stronger linearity from weak linearity

- Precise statement: If $\phi : G \rightarrow \widehat{G}$ satisfies the conclusions (1) and (2) of step 1 (i.e., $\exists S \subseteq G, |S| \gg_{\delta} |G|$ s.t. $|\Delta(f; h)^{\wedge}(\phi(h))| \gg_{\delta} 1$ for all $h \in S$; and there are $\gg_{\delta} |G|^3$ quadruples $(s_1, s_2, s_3, s_4) \in S^4$ s.t. $s_1 + s_2 = s_3 + s_4$ and $\phi(s_1) + \phi(s_2) = \phi(s_3) + \phi(s_4)$), then $\exists \psi(x) = Mx + b$ s.t. $\psi(x) = \phi(x)$ for $\gg_{\delta} |G|$ values of $x \in S$.

Sketch of step 2

- ▶ Consider $\Gamma = \{(h, \phi(h)) : h \in S\}$. Conclusion 2 & Balog-Szemerédi-Gowers $\implies \exists \Gamma' \subseteq \Gamma$ s.t. $|\Gamma'| \gg_\delta |\Gamma|$ and $|\Gamma' + \Gamma'| \ll_\delta |\Gamma'|$ (in particular $|\Gamma'| \gg_\delta |G|$)
- ▶ Freiman's thm. in $\mathbb{F}_p^n \implies \exists$ subspace $H \leq G \times \widehat{G} \cong \mathbb{F}_5^{2n}$ s.t. $\Gamma' \subseteq H$ and $|H| \ll_\delta |\Gamma'|$ (in particular $|H| \ll_\delta |G|$)
- ▶ Consider $\pi : H \rightarrow G, (a, b) \rightarrow a$ (projection), $S' = \pi(\Gamma')$
- ▶ $|\pi(H)| \geq |S'| \gg_\delta |G|$, rank-nullity $\implies \dim \ker(\pi) \ll_\delta 1$

Sketch of step 2

- ▶ Consider $H' = (\ker(\pi))^\perp$. $H = \bigcup_{x \in \ker(\pi)} (x + H')$ ($\ll_\delta 1$ cosets in the disjoint union)
- ▶ π injective on each coset $x + H'$
- ▶ Pigeonhole $\implies \exists x$ s.t. $|(x + H') \cap \Gamma'| \gg_\delta |\Gamma'| \gg_\delta |G|$

Completing step 2

- ▶ $\Gamma'' = (x + H') \cap \Gamma'$, $S'' = \pi(\Gamma'')$, $V = \pi(x + H')$
- ▶ Consider $\psi : V \rightarrow \widehat{G}$, $v \rightarrow \pi^{-1}(v) = (a, b) \rightarrow b$
- ▶ ψ is affine (so $\psi(x) = Mx + b$) and agrees with ϕ on S'' , and $|S''| \gg_{\delta} |G|$

Summary of what we have so far

- ▶ $|\Delta(f; h)^\wedge(\phi(h))| \gg_\delta 1$ for all $h \in S$, $|S| \gg_\delta |G|$
- ▶ $\phi(h) = \psi(h) = Mh + b$ for all $h \in S'' \subseteq S$, $|S''| \gg_\delta |G|$
- ▶ Corollary: $\mathbb{E}_h |\Delta(f; h)^\wedge(Mh + b)|^2 \gg_\delta 1$
- ▶ Next step: "symmetry argument" to obtain $\text{rank}(M - M^T) \ll_\delta 1$

Step 3 - symmetry argument

- ▶ Precise statement: If $\mathbb{E}_h |\Delta(f; h)^\wedge(Mh + b)|^2 \gg_\delta 1$, then $\text{rank}(D) \ll_\delta 1$, where $D = M - M^T$
- ▶ First step of proof: Expand hypothesis and change variables to obtain $\exists g_z : G \rightarrow \mathbb{C}$, $\|g_z\|_\infty \leq 1$ s.t.

$$|\mathbb{E}_z \mathbb{E}_{x,y} g_z(x) \overline{g_z(y)} \omega^{x^T D y}| \gg_\delta 1$$

Sketch of step 3

- ▶ We have: $\exists g_z : G \rightarrow \mathbb{C}$, $\|g_z\|_\infty \leq 1$ s.t.

$$|\mathbb{E}_z \mathbb{E}_{x,y} g_z(x) \overline{g_z(y)} \omega^{x^T D y}| \gg_\delta 1$$

- ▶ Pigeonhole, algebra, $D^T = -D \implies \exists g : G \rightarrow \mathbb{C}$, $\|g\|_\infty \leq 1$,

$$|\mathbb{E}_{x,y} \overline{g(x)} g(y) \omega^{(Dx)^T y}| \gg_\delta 1$$

- ▶ Observe: LHS = $|\mathbb{E}_x \overline{g(x)} \widehat{g}(Dx)|$

Sketch of step 3

- ▶ We have: $\exists g : G \rightarrow \mathbb{C}, \|g\|_\infty \leq 1$ s.t. $|\mathbb{E}_x \overline{g(x)} \widehat{g}(Dx)| \gg_\delta 1$
- ▶ Since $\|g\|_\infty \leq 1$, $|\mathbb{E}_x \widehat{g}(Dx)| \gg_\delta 1$ so $\exists \gg_\delta |G|$ x 's s.t. $|\widehat{g}(Dx)| \gg_\delta 1$
- ▶ But Parseval gives $\exists \ll_\delta 1$ r 's s.t. $|\widehat{g}(r)| \gg_\delta 1$
- ▶ Thus D takes $\gg_\delta |G|$ elements to $\ll_\delta 1$ elements, so $\text{rank}(D) \ll_\delta 1$

Getting full symmetry

- ▶ We have: $\|f\|_{U^3} \geq \delta \implies \mathbb{E}_h |\Delta(f; h)^{(Mh+b)}|^2 \gg_\delta 1$ with $\text{rank}(M - M^T) \ll_\delta 1$
- ▶ Derivative of quadratic phase is a *symmetric* linear phase, so we want M symmetric
- ▶ Fortunately, we can assume M is symmetric (by a probabilistic argument over cosets of $\ker(M - M^T)$, which there are $\ll_\delta 1$, we can take $M := \frac{1}{2}(M + M^T)$)

Last step ("integration")

- ▶ We have: $\mathbb{E}_h |\Delta(f; h)^\wedge(Mh + b)|^2 \gg_\delta 1$ with M symmetric
- ▶ We want to "integrate" this to get the inverse result
$$\left| \mathbb{E}_{x \in G} f(x) \omega^{x^T M x + r^T x} \right| \gg_\delta 1$$
- ▶ Expanding the hypothesis, changes of variable, algebra
 $\implies \mathbb{E}_{h,x,k} g_1(x) g_2(x-h) g_3(x-k) g_4(x-h-k) \gg_\delta 1$ with
 $g_1(x) = f(x) \omega^{\frac{1}{2} x^T M x}$, $\|g_i\|_\infty \leq 1$
- ▶ LHS = $\sum_r \widehat{g}_1(r) \widehat{g}_2(-r) \widehat{g}_3(-r) \widehat{g}_4(r)$

Completing the proof of the inverse result

▶ We have: $\sum_r \widehat{g}_1(r)\widehat{g}_2(-r)\widehat{g}_3(-r)\widehat{g}_4(r) \gg_\delta 1$

▶ Hölder $\implies \|\widehat{g}_1\|_4 \gg_\delta 1$

▶ Then, by Cauchy-Schwarz and Parseval,

$$1 \ll_\delta \|\widehat{g}_1^4\|_1 \leq \|\widehat{g}_1^2\|_1 \|\widehat{g}_1^2\|_\infty \leq \|\widehat{g}_1^2\|_2 \|\widehat{g}_1^2\|_\infty \leq \|\widehat{g}_1^2\|_\infty$$

▶ $\|\widehat{g}_1\|_\infty \gg_\delta 1$, which means

$$\exists r \text{ s.t. } |\mathbb{E}_x f(x) \omega^{\frac{1}{2}x^T Mx + r^T x}| \gg_\delta 1$$

Objectives and Outline

- ▶ Definitions: factors, quadratic factors etc.
- ▶ Energy increment
- ▶ Versions of arithmetic regularity
- ▶ The main theorem.

Definition (Factors, Linear Factors, Conditional Expectation)

- ▶ Let $\phi_1, \dots, \phi_k : G \rightarrow G$ be any functions. The σ -algebra, \mathcal{B} , generated by the sets (atoms) of the form $\{x \in G \mid \phi_1(x) = c_1, \dots, \phi_k(x) = c_k\}$ are called a factor.
- ▶ If all the functions $\phi_i(x)$ $i \leq k$ are of the form $r_i^T x$ for some $r_i \in G$ the factor \mathcal{B} generated by $\phi_i, i \leq k$ is called a linear factor of complexity at most k .
- ▶ The conditional expectation of f is defined as

$$\mathbb{E}(f \mid \mathcal{B})(x) := \mathbb{E}_{x \in \mathcal{B}(x)} f(x)$$

where $\mathcal{B}(x)$ is the atom of \mathcal{B} containing x .

Definition (Rank of a Quadratic Factor)

- ▶ Let $i \leq d_i, r_i \in G$ and $M_j, j \leq d_2$ be symmetric matrices in $\mathcal{M}_n(G)$.
- ▶ Let \mathcal{B}_1 be the factor generated by the linear functions $\phi_i(x) = r_i^T x$; and \mathcal{B}_2 be the factor generated by $\phi_i(x) = r_i^T x, i \leq d_1$ and $\psi_j(x) = x^T M_j x, j \leq d_2$.
- ▶ \mathcal{B}_2 is a refinement of \mathcal{B}_1 . $(\mathcal{B}_1, \mathcal{B}_2)$ is called a factor of complexity (d_1, d_2) .
- ▶ We say that $(\mathcal{B}_1, \mathcal{B}_2)$ has rank at least r if for all nontrivial linear combinations of M_1, \dots, M_{d_2} has rank at least r .

Energy Increment

Lemma

Let $(\mathcal{B}_1, \mathcal{B}_2)$ be a quadratic factor of complexity at most (d_1, d_2) , and let $f : \mathbb{F}_5^n \rightarrow [-1, 1]$ be a function such that

$$\|f - \mathbb{E}(f \mid \mathcal{B}_2)\|_{U^3} \geq \delta.$$

Then exists a refinement $(\mathcal{B}'_1, \mathcal{B}'_2)$ of $(\mathcal{B}_1, \mathcal{B}_2)$ of complexity at most $(d_1 + 1, d_2 + 1)$ such that we have the energy increment

$$\|\mathbb{E}(f \mid \mathcal{B}'_2)\|_2^2 \geq \|\mathbb{E}(f \mid \mathcal{B}_2)\|_2^2 + c(\delta)$$

where $c : (0, 1) \rightarrow \mathbb{R}^+$ is some non-decreasing function of δ .

Pythagoras Theorem

Theorem

Suppose that $\mathcal{B}, \mathcal{B}'$ are two σ -algebras on \mathbb{F}_5^n such that \mathcal{B}' refines \mathcal{B} . Let $f : \mathbb{F}_5^n \rightarrow [-1, 1]$ be any function. Then

$$\|\mathbb{E}(f \mid \mathcal{B}')\|_2^2 = \|\mathbb{E}(f \mid \mathcal{B})\|_2^2 + \|\mathbb{E}(f \mid \mathcal{B}') - \mathbb{E}(f \mid \mathcal{B})\|_2^2.$$

Proof Idea.

The proof is based on the following equality

$$(a + ld)^2k + (a - kd)^2l = a^2(k + l) + k(ld)^2 + l(kd)^2.$$

We assumed there is 1 atom B of \mathcal{B} and $\mathbb{E}(f \mid \mathcal{B}) = a$, and 2 atoms B_1 and B_2 of sizes k and l respectively. Then

$$\mathbb{E}(f \mid \mathcal{B}') = \begin{cases} a - ld & \text{if } x \in B_1 \\ a - kd & \text{if } x \in B_2. \end{cases}$$

□

Proof of the energy increment.

- ▶ $g(x) := f(x) - \mathbb{E}(f - f \mid \mathcal{B}_2)$.
- ▶ By the inverse result for the U^3 norm, there exists non decreasing c such that

$$|\mathbb{E}_x g(x) \omega^{x^T M x + r^T x}| \geq c(\delta).$$

- ▶ The linear part and the quadratic part $r^T x$, $x^T M x$ induces a quadratic factor $(\tilde{\mathcal{B}}_1, \tilde{\mathcal{B}}_2)$ of complexity $(1, 1)$.
- ▶ $x^T M x + r^T x$ is $\tilde{\mathcal{B}}_2$ -measurable. Hence

$$\mathbb{E}_x g(x) \omega^{x^T M x + r^T x} = \mathbb{E}_x \mathbb{E}(g \mid \tilde{\mathcal{B}}_2)(x) \omega^{x^T M x + r^T x}.$$

▶

$$\|\mathbb{E}(g \mid \tilde{\mathcal{B}}_2)\|_1 \geq c(\delta).$$

- ▶ Define $\mathcal{B}'_1 := \mathcal{B}_1 \vee \tilde{\mathcal{B}}_1$ and $\mathcal{B}'_2 := \mathcal{B}_2 \vee \tilde{\mathcal{B}}_2$.

□

- Finally we get the chain of inequalities:

$$\begin{aligned}\|\mathbb{E}(f \mid \mathcal{B}'_2)\|_2^2 - \|\mathbb{E}(f \mid \mathcal{B}_2)\|_2^2 &= \|\mathbb{E}(f \mid \mathcal{B}'_2) - \mathbb{E}(f \mid \mathcal{B}_2)\|_2^2 \\ &= \|\mathbb{E}(g \mid \mathcal{B}'_2)\|_2^2 \\ &\geq \left\| \mathbb{E}(g \mid \tilde{\mathcal{B}}_2) \right\|_2^2 \\ &\geq \left\| \mathbb{E}(g \mid \tilde{\mathcal{B}}_2) \right\|_1^2 \\ &\geq c(\delta)\end{aligned}$$

by Pythagoras theorem, the definition of g and the fact that \mathcal{B}'_2 refines $\tilde{\mathcal{B}}_2$, and finally Cauchy Schwarz.

Quadratic Koopman-von Neumann decomposition

Theorem

Let $(\mathcal{B}_1^{(0)}, \mathcal{B}_2^{(0)})$ be a quadratic factor with complexity at most $(d_1^{(0)}, d_2^{(0)})$. Let $f : \mathbb{F}_5^n \rightarrow [-1, 1]$ be a function and let $\delta > 0$ be a parameter. "Then there is a quadratic factor $(\mathcal{B}_1, \mathcal{B}_2)$ of complexity at most $(d_1^{(0)} + O_\delta(1), d_2^{(0)} + O_\delta(1))$ which refines $(\mathcal{B}_1^{(0)}, \mathcal{B}_2^{(0)})$, and such that

$$f = f_1 + f_2$$

where

$$f_1 := \mathbb{E}(f \mid \mathcal{B}_2)$$

and

$$\|f_2\|_{U^3} \leq \delta.$$

Proof.

- ▶ Start with $(\mathcal{B}_1, \mathcal{B}_2) = (\mathcal{B}_1^{(0)}, \mathcal{B}_2^{(0)})$. If

$$\|f - \mathbb{E}(f \mid \mathcal{B}_2)\|_{U^3} \geq \delta,$$

energy increment is applicable to the factor $(\mathcal{B}_1, \mathcal{B}_2)$.

- ▶ We will get $(\mathcal{B}_1, \mathcal{B}_2)$ whose complexity is increased by $(1, 1)$, and $\|\mathbb{E}(f \mid \mathcal{B}_2)\|$ is increased by $c(\delta)$.
- ▶ By the choice of non-decreasing c , this algorithm must be applicable at most $1/c(\delta)$ times.
- ▶ Algorithm is not applicable \iff the conditions of energy increment are not satisfied.
- ▶ For some \mathcal{B}_2 ,

$$\|f - \mathbb{E}(f \mid \mathcal{B}_2)\|_{U^3} < \delta.$$

□

Theorem (Arithmetic regularity lemma for U^3 -I)

Let $\delta > 0$ be a parameter, and let $\omega : \mathbb{R}^+ \rightarrow \mathbb{R}^+$ be an arbitrary growth function (which may depend on δ). Suppose that $n > n_0(\omega, \delta)$ is sufficiently large, and let $f : \mathbb{F}_5^n \rightarrow [-1, 1]$ be a function. Let $(\mathcal{B}_1^{(0)}, \mathcal{B}_2^{(0)})$ be a quadratic factor of complexity $(d_1^{(0)}, d_2^{(0)})$. Then there is $C = C(\delta, \omega, d_1^{(0)}, d_2^{(0)})$ and a quadratic factor $(\mathcal{B}_1, \mathcal{B}_2)$ which refines $(\mathcal{B}_1^{(0)}, \mathcal{B}_2^{(0)})$ and has complexity at most (d, d) , $d \leq C$, together with a decomposition

$$f = f_1 + f_2 + f_3$$

where

$$\begin{aligned} f_1 &:= \mathbb{E}(f \mid \mathcal{B}_2), \\ \|f_2\|_2 &\leq \delta \end{aligned}$$

and

$$\|f_3\|_{U^3} \leq 1/\omega(d).$$

Theorem (Arithmetic regularity lemma for U^3 -II)

Let $\delta > 0$ be a parameter, and let $\omega_1, \omega_2 : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ be arbitrary growth functions (which may depend on δ). Let $n > n_0(\delta, \omega_1, \omega_2)$ be sufficiently large, and let $f : \mathbb{F}_5^n \rightarrow [-1, 1]$ be a function. Let $(\mathcal{B}_1^{(0)}, \mathcal{B}_2^{(0)})$ be a quadratic factor of complexity $(d_1^{(0)}, d_2^{(0)})$. Then there is a quadratic factor $(\mathcal{B}_1, \mathcal{B}_2)$ with the following properties: (1) $(\mathcal{B}_1, \mathcal{B}_2)$ refines $(\mathcal{B}_1^{(0)}, \mathcal{B}_2^{(0)})$; (2) The complexity of $(\mathcal{B}_1, \mathcal{B}_2)$ is at most (d_1, d_2) , where

$$d_1, d_2 \leq C(\delta, \omega_1, \omega_2, d_1^{(0)}, d_2^{(0)})$$

for some fixed function C ; (3) The rank of $(\mathcal{B}_1, \mathcal{B}_2)$ is at least $\omega_1(d_1 + d_2)$; (4) There is a decomposition $f = f_1 + f_2 + f_3$, where

$$\begin{aligned} f_1 &:= \mathbb{E}(f \mid \mathcal{B}_2), \\ \|f_2\|_2 &\leq \delta \end{aligned}$$

and

$$\|f_3\|_{U^3} \leq 1/\omega_2(d_1 + d_2).$$

- ▶ The first proof is a result of the iterative application of Koopman-von Neumann decomposition.
- ▶ We get a small δ in terms of the complexity of the quadratic factor.
- ▶ The second proof utilizes the fact that every quadratic factor can be refined to a "high-rank" quadratic factor whose complexities are "close".

Main Theorem

Theorem (Main Theorem)

*Let $\alpha, \epsilon > 0 \in \mathbb{R}$. Then $\exists n_0 = n_0(\alpha, \epsilon)$ with the following property:
Suppose that $n > n_0$ and $A \subseteq \mathbb{F}_5^n$ has density α . Then $\exists d \neq 0$ s.t. A contains $\geq (\alpha^4 - \epsilon)N$ 4APs with common difference d ($N = |\mathbb{F}_5^n| = 5^n$).*

- ▶ Applying Arithmetic regularity II to 1_A where $A \subset \mathbb{F}_5^n$. We get a decomposition $1_A = f_1 + f_2 + f_3$ where

$$f_1 := \mathbb{E}(f \mid \mathcal{B}_2), \\ \|f_2\|_2 \leq \delta$$

and

$$\|f_3\|_{U^3} \leq 1/\omega(d_1 + d_2)$$

and the quadratic factor $(\mathcal{B}_1, \mathcal{B}_2)$ is of complexity (d_1, d_2) , where $d_i < d_0(\alpha, \epsilon)$ and rank r satisfying

$$r > 100(\log(1/\epsilon) + \log(1/\alpha) + d_1 + d_2).$$

- ▶ The parameters δ and ω will be specified later.

- ▶ Let $r_1^T x, \dots, r_{d_1}^T x$ be the linear functions in \mathcal{B}_1 .
- ▶ Define $H := \langle r_1, \dots, r_{d_1} \rangle^T$. Let 1_H be the characteristic function of H , and let μ_H be the normalised measure on H ,

$$\mu_H := 1_H / \mathbb{E}1_H$$

- ▶ What we will prove is:

$$\mathbb{E}_{x,d} 1_A(x) 1_A(x+d) 1_A(x+2d) 1_A(x+3d) \mu_H(d) \geq \alpha^4 - \epsilon,$$

which implies the main theorem for some $d \in H$ by an averaging argument.

- ▶ We split the left-hand side of (4.9) into 81 parts by substituting $1_A = f_1 + f_2 + f_3$.

- ▶ The terms containing f_2 : Some of the terms are of the form

$$\mathbb{E}_{x,d} g_1(x) g_2(x+d) g_3(x+2d) g_4(x+3d) \mu_H(d),$$

where $g_1 = f_2$.

- ▶ Set $F(x) := \mathbb{E}_d g_2(x+d) g_3(x+2d) g_4(x+3d) \mu_H(d)$. It follows that

$$\begin{aligned} |\mathbb{E}_{x,d} g_1(x) g_2(x+d) g_3(x+2d) g_4(x+3d) \mu'_H(d)| &\leq |\mathbb{E}_x g_1(x) F(x)| \\ &\leq \|f_2\|_1 \leq \|f_2\|_2, \end{aligned}$$

as $\|F\|_\infty \leq 1$. This proves the claim provided that $\delta \leq \epsilon/200$.

- ▶ The terms containing f_3 can be bounded by $\epsilon/200$ using generalized von Neumann.

- ▶ Making the other 80 factors small, we focus on the main term:

$$\mathbb{E}_{x,d} f_1(x) f_1(x+d) f_1(x+2d) f_1(x+3d) \mu_H(d).$$

- ▶ For $f_1 : \mathbb{F}_5^n \rightarrow \mathbb{C}$ is a \mathcal{B}_2 -measurable function then we write $\mathbf{f}_1 : \mathbb{F}_5^{d_1} \times \mathbb{F}_5^{d_2} \rightarrow \mathbb{C}$ for the function which satisfies

$$f_1(x) = \mathbf{f}_1(\Gamma(x), \Phi(x))$$

where $\Gamma(x) := (r_1^T x, \dots, r_{d_1}^T x)$ and $\Phi(x) := (x^T M_1 x, \dots, x^T M_{d_2} x)$.

- ▶ We will show

$$\mathbb{E}_{(a,b) \in \mathbb{F}_5^{d_1} \times \mathbb{F}_5^{d_2}} \mathbf{f}_1(a, b) = \alpha \left(1 + O \left(5^{2d_1 + 2d_2 - r/2} \right) \right).$$

- ▶ Clearly if the atoms were of the same size we had the equality without the error term.
- ▶ We will now study the atoms' size.

Lemma

Suppose that $(\mathcal{B}_1, \mathcal{B}_2)$ has rank at least r . Let $(a, b) \in \mathbb{F}_5^{d_1} \times \mathbb{F}_5^{d_2}$. Then the probability that a randomly chosen $x \in \mathbb{F}_5^n$ has $\Gamma(x) = a$ and $\Phi(x) = b$ is $5^{-d_1-d_2} + O(5^{-r/2})$.

Proof.

$\Gamma(x) = a \iff r_j^T x = a_j$ for all j .

$$5^{-d_1-d_2} \mathbb{E}_x \prod_{i=1}^{d_1} \left(\sum_{\mu_i \in \mathbb{F}_5} \omega^{\mu_i (r_1^T x - a_j)} \right) \prod_{j=1}^{d_2} \left(\sum_{\lambda_j \in \mathbb{F}_5} \omega^{\lambda_j (x^T M_j x - b_j)} \right),$$
$$\underbrace{\left(\sum_{\mu_i \in \mathbb{F}_5} \omega^{\mu_i (r_1^T x - a_j)} \right)}_{\begin{cases} 5 & \text{if } r_1^T x - a_j = 0 \\ 0 & \text{otherwise} \end{cases}}$$

□



$$\mathbb{E}_x \omega^{x^T (\lambda_1 M_1 + \dots + \lambda_{d_2} M_{d_2}) x + (\mu_1 r_1 + \dots + \mu_{d_1} r_{d_1})^T x} \sum_{\mu_i, \lambda} \omega^{-\lambda_1 b_1 - \dots - \lambda_{d_2} b_{d_2} - \mu_1 p_1 - \dots - \mu_{d_1} a_{d_1}}$$

▶ We have

$$\text{rk}(\lambda_1 M_1 + \dots + \lambda_{d_2} M_{d_2}) \geq r.$$

By Gauss sum estimate every term in (4.1) in which the λ_i are not all zero is bounded by $5^{-d_1 - d_2 - r/2}$.

▶ Among the remaining terms, the linear independence of the r_i guarantees that the only term that does not vanish is that with $\mu_1 = \dots = \mu_{d_1} = 0$.

Lemma

Suppose that $(\mathcal{B}_1, \mathcal{B}_2)$ has rank at least r . Suppose that $(a^{(1)}, b^{(1)}), \dots, (a^{(4)}, b^{(4)}) \in \mathbb{F}_5^{d_1} \times \mathbb{F}_5^{d_2}$. Suppose that a 4-term progression $(x, x+d, x+2d, x+3d) \in (\mathbb{F}_5^n)^4$ is chosen at random. If

$$a^{(1)}, a^{(2)}, a^{(3)}, a^{(4)} \text{ are in arithmetic progression}$$

and

$$b^{(1)} - 3b^{(2)} + 3b^{(3)} - b^{(4)} = 0$$

then the probability that $\Gamma(x+id) = a^{(i)}, \Phi(x+id) = b^{(i)}$ for $i = 1, 2, 3, 4$ is $5^{-2d_1-3d_2} + O(5^{-r/2})$. Otherwise, it is zero.

Proof is omitted.

- We will continue to the proof of the main theorem. We have

$$\mathbb{E}_{(a,b) \in \mathbb{F}_5^{d_1} \times \mathbb{F}_5^{d_2}} \mathbf{f}_1(a,b) = \alpha \left(1 + O \left(5^{2d_1+2d_2-r/2} \right) \right)$$

since $(\sum w_{a,b} \mathbf{f}_1(a,b)) = \alpha$ where $w_{a,b}$ represents (number of elements in the atom specified by a,b)/(all elements). As

$$\max \left| \left(\sum w_{a,b} - \frac{1}{5^{d_1+d_2}} \right) \sum \mathbf{f}_1(a,b) \right| \leq O(5^{2d_1+2d_2-r/2}).$$

($\mathbf{f}_1(a,b) \leq 5^{d_1+d_2}$), the result follows.

► Lastly we show

$$\begin{aligned} & \mathbb{E}_{x,d} f_1(x) f_1(x+d) f_1(x+2d) f_1(x+3d) \mu_H(d) \\ &= \mathbb{E}_{\substack{a \in \mathbb{F}_5^{d_1}, b^{(1)}, \dots, b^{(4)} \in \mathbb{F}_5^{d_2} \\ b^{(1)} - 3b^{(2)} + 3b^{(3)} - b^{(4)} = 0}} \mathbf{f}_1(a, b^{(1)}) \mathbf{f}_1(a, b^{(2)}) \mathbf{f}_1(a, b^{(3)}) \mathbf{f}_1(a, b^{(4)}) \\ &+ O(5^{2d_1 + 3d_2 - r/2}). \end{aligned}$$